

AML INFORMATION – INDIVIDUAL

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Rocket Finance is required to comply with the Anti-Money Laundering and Counter Financing of Terrorism Act 2009. This form assists us with our compliance obligations.

Investor Name : _____

Investor IRD Number: _____

What is the main source of your funds/wealth? (please tick one)

Investment	Savings/Cash	
Property	Income	Other

Approximate value of this investment \$

Have you ever invested in this type of investment in the past?

Yes	No
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Are you a Politically Exposed Investor*

Yes	No
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*A PEP is defined in section 5(1) of the Act as an individual who holds or has held a prominent public function in any overseas country at any time in the preceding 12 months, including immediate family members and close associates of such individuals.

SIGNATURE: _____

DATE: / /

SIGNATURE: _____

DATE: / /

Rocket Finance may in certain circumstances request additional information and/or supporting documents that verify the source of funds or wealth such as bank statements, sale and purchase agreements, payslips and any other relevant documentation.

Note that information obtained by Rocket Finance remains private and confidential, Rocket Finance complies with all obligations contained within the Privacy Act 1993.