

AML INFORMATION – TRUST

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Rocket Finance is required to comply with the Anti-Money Laundering and Counter Financing of Terrorism Act 2009. This form assists us with our compliance obligations.

Name of Trust: _____

Trustee(s): _____

Settlors of the Trust: _____

Persons Acting on Behalf of the Trust: _____

Person who has Effective Control over the Trust: _____

(defined as anyone with the ability to amend the trust deed, or appoint or remove trustees) _____

Principal Trust Address (PO Boxes are not accepted): _____

BENEFICIARIES

Name: _____ Date of Birth: _____

Name: _____ Date of Birth: _____

Name: _____ Date of Birth: _____

TRUST DEED AND RELATED DOCUMENTS

Please provide us with a copy of the latest trust deed and any related documents. Related documents could include documents that vary the terms of the trust deed or appoint or remove trustees of the trust.

What is the main source of your funds/wealth? (please tick one)

Investment	Savings/Cash	
Property	Income	Other

Approximate value of this investment \$

Have you ever invested in this type of investment in the past?

Yes No

Are you a Politically Exposed Investor*

Yes No

*A PEP is defined in section 5(1) of the Act as an individual who holds or has held a prominent public function in any overseas country at any time in the preceding 12 months, including immediate family members and close associates of such individuals.

SIGNATURE: _____ **DATE:** / /

SIGNATURE: _____ **DATE:** / /

Rocket Finance may in certain circumstances request additional information and/or supporting documents that verify the source of funds or wealth such as bank statements, sale and purchase agreements, payslips and any other relevant documentation.

Note that information obtained by Rocket Finance remains private and confidential, Rocket Finance complies with all obligations contained within the Privacy Act 1993.